QP CODE: 24027058

Reg No : .....

Name : .....

B.COM DEGREE (CBCS) REGULAR / IMPROVEMENT / REAPPEARANCE EXAMINATIONS, OCTOBER 2024

Third Semester

Core Course - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

2017 Admission Onwards

CF15C1C6

Time: 3 Hours Max. Marks : 80

Instructions to Private candidates only: This question paper contains two sections. Answer SECTION I questions in the answer-book provided. SECTION II, Internal examination questions must be answered in the question paper itself. Follow the detailed instructions given under SECTION II

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. "Investment comes from savings". Illustrate.
2. What is financial market?
3. What is 'NBFC'?
4. Explain ESOPs.
5. What is Book Building?
6. What do you mean by Secondary Market?
7. What is 'contract note' in connection with trade in stock market?
8. What do you mean by 'Marking to Market'?
9. What is growth funds?
10. Briefly narrate any two features of NAV of mutual funds.
11. What are Financial Futures?
12. What is meant by options premium?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. What are the development functions of SEBI?
14. What do you understand from 'Call money'? How is it different from 'Notice money'?
15. What are the features of New Issue Market?
16. What are the disadvantages of listing of securities?
17. What is a stock index? Explain various factors to be considered for developing a stock index.
18. Make a note on ETFs with appropriate examples.
19. What is Association of Mutual Funds in India? State its objectives.
20. What are the uses of standardized derivative contracts in the financial market?
21. Make a brief note on "commodity derivatives in India".

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. "Government securities play an essential role in developing and regulating Indian capital market". Analyse the statement with regard to different types of government securities.
23. "The financial markets and instruments are always subject to innovation". Discuss about financial innovation and innovative financial instruments in the global scenario.
24. Explain the various aspects of the depository system existing in the secondary market.
25. Briefly explain the role of Trustees in the Constitution and Mangement of Mututal Funds in India.

(2×15=30)